

SIX Swiss Exchange message no. 12/2024

SWXess Maintenance Release 13 (SMR13) – Publication of trading regulations with entry into force on 10 June 2024

Category Regulation

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Information

Content of this message:

- Publication of trading regulations for SMR13 with entry into force on 10 June 2024
- Publication of the updated "SMR13 Release Guide"
- Introduction of SMR13 in the Production environment planned for 10 June 2024

In <u>SIX Swiss Exchange message no. 09/2024</u>, the Swiss Stock Exchange informed participants about the start of the Membertest phase 2 for the SWXess Maintenance Release 13 (SMR13).

This message informs participants about the publication of the trading regulations for SMR13 with entry into force on 10 June 2024.

Release Guide

The **updated** version of the "<u>SMR13 – Release Guide</u>" now also contains detailed information on the regulatory changes of SMR13. Changes since the last version of the document can be found in the document history of the brochure.

Further information on the SWXess Maintenance Release 13 (SMR13) can be found on the <u>SWXess Maintenance</u> Release (SMR) web page and in the <u>Member Section</u> of SIX Swiss Exchange AG.

Trading Regulations

The following trading regulations are affected by the changes introduced with SMR13 and have been amended accordingly:

- Rules of SIX Swiss Exchange AG
- Reporting Office Rules
- **Directives** of SIX Swiss Exchange AG
 - Directive 3: Trading
 - Directive 5: Alternative Trading
 - Directive 7: Sponsored Access
- **Guidelines** of SIX Swiss Exchange AG
 - List of Charges under the Trading Rules
 - Trading Parameters Guideline

The revised provisions will enter into force on 10 June 2024 and are available at the following link: https://www.six-group.com/en/products-services/the-swiss-stock-exchange/trading/trading-provisions/regulation.html

A detailed list of the adjustments in the regulations of SIX Swiss Exchange AG can be found in Chapter 6 of the "SMR13 – Release Guide" or at the following link: https://www.ser-ag.com/en/resources/laws-regulations-determinations/archive.html

Membertest phase

The Membertest phase for SMR13 began on schedule on 18 March 2024 and the enhancements were introduced in the Membertest environment on 15 April 2024. Since then, all participants have been able to test all business-relevant processes, functionalities and applications.

Introduction

The introduction of SMR13 in the Production environment is planned for 10 June 2024. The introduction will be confirmed with a SIX Swiss Exchange message by 31 May 2024.

The Swiss Stock Exchange continuously strives to expand and improve its range of services and products, as well as its SWXess trading platform. As participants, you can benefit from the improvements of SMR13, which will ensure continued efficiency in trading on the exchange.

If you have any questions, please do not hesitate to contact Member Services:

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Links to SIX Swiss Exchange AG:

www.six-group.com | Member Section | Forms | Regulations | Directives